



Visit our website at [www.heartlandfunds.com](http://www.heartlandfunds.com)

**REDEMPTION REQUEST FORM (Do not use this form for IRA accounts)**

**OVERNIGHT DELIVERY TO:**

Heartland Funds, c/o ALPS Fund Services,  
1290 Broadway, Suite 1100, Denver, CO 80203

**REGULAR MAIL TO:**

Heartland Funds  
P.O. Box 177, Denver, CO 80201-0177

**FOR ASSISTANCE, PLEASE CALL A**

**SHAREHOLDER SERVICES REPRESENTATIVE  
AT 1-800-432-7856.**

**1 ACCOUNT REGISTRATION**

NAME(S) OF ACCOUNT OWNER(S)

FUND NAME

STREET OR P.O. BOX

ACCOUNT NUMBER

CITY, STATE, ZIP

DAY/EVENING PHONE

E-MAIL ADDRESS (OPTIONAL) *\*By providing your email address you consent to receive periodic e-mail communication from Heartland Funds.*

**2 REDEMPTION INSTRUCTIONS (MEDALLION SIGNATURE GUARANTEE REQUIRED FOR AMOUNTS OVER \$50,000)**

Redeem \$ \_\_\_\_\_

\_\_\_ Redeem \_\_\_\_\_ shares

\_\_\_ Redeem all shares

Redemption proceeds will be made payable and mailed to the registered address unless you provide one or more of the following special instructions.

**Special instructions:\***

\_\_\_ Special wiring instructions (insert information below)

\_\_\_ Special payee: \_\_\_\_\_

\_\_\_ Special mailing instructions: \_\_\_\_\_

**\*A Medallion signature guarantee is required for special instructions.**

BANK NAME

BANK ADDRESS

BANK ACCOUNT REGISTRATION

BANK ACCOUNT NUMBER

ABA NUMBER

BANK TELEPHONE NUMBER

This form may not be used to elect a cost basis method or make changes to the cost basis method on your account. The cost basis of covered shares is determined using Average Cost, unless you have elected a different accounting method. To elect a different method, please complete a Cost Basis Election form or submit a letter of instruction. Non-covered shares are redeemed prior to covered shares unless otherwise specified at the time of the redemption.

**3 SHAREHOLDER SIGNATURE(S)**

If a joint account, all owners must sign.

SIGNATURE OF ACCOUNT OWNER

DATE

SIGNATURE OF JOINT OWNER (IF ANY)

DATE

MEDALLION SIGNATURE GUARANTEE (IF REQUIRED)

MEDALLION SIGNATURE GUARANTEE (IF REQUIRED)

A Medallion signature guarantee may be obtained from a commercial bank, savings and loan association, credit union, or broker-dealer. **A notary public is not an acceptable guarantor.**